

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited		
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity		
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending 31st December, 2020	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :05/01/2021 NSDL : 31/12/2020 CDSL :31/12/2020

Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	6	50161695	0	0	50161695	72.1301	50161695	0	50161695	72.1275	0	72.1301	0	0	0	0	50161695
(B)	Public	11733	19381654	0	0	19381654	27.8699	19381654	0	19381654	27.8689	0	27.8699	0	0	NA	NA	18859909
(C)	Non Promoter - Non Public	2		0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C1)	Shares Underlying DRs	2		0	2500	2500	0	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0	NA	NA	0
	Total	11741	69543349	0	2500	69545849	100	69545849	0	69545849	100	0	100.0000	0	0	0	0	69023304

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Note:

9,00,000 equity shares acquired by Nerka Chemicals Private Limited, a promoter on 18th December, 2020 is not included in the shareholding pattern for the quarter ended on 31st December, 2020 as the same was lying in the demat account of the clearing member as on 31st December, 2020. Now, the same has been credited in the demat account of Nerka Chemicals Private Limited and will reflect in the shareholding pattern for the quarter ended on 31st March, 2021.

Uniphos Enterprises Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(i)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)	(xiv)		
1	Indian																	
(a)	Individuals / Hindu Undivided Family	4	333023	0	0	333023	0.4789	333023	0	333023	0.4789	0	0.4789	0	0	0	0	333023
	Shilpa P Sagar	1	329123	0	0	329123	0.4733	329123	0	329123	0.4732	0	0.4733	0	0	0	0	329123
	Jyotsna Jyotindra Bhatt	1	2100	0	0	2100	0.0030	2100	0	2100	0.0030	0	0.0030	0	0	0	0	2100
	Varun Jaidev Shroff	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	1300
	Tania Jaidev Shroff	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(d)	Any Other (Specify)	2	49828672	0	0	49828672	71.6512	49828672	0	49828672	71.6487	0	71.6512	0	0	0	0	49828672
	Bodies Corporate	2	49828672	0	0	49828672	71.6512	49828672	0	49828672	71.6487	0	71.6512	0	0	0	0	49828672
	Nerka Chemicals Pvt Ltd *	1	49819612	0	0	49819612	71.6382	49819612	0	49819612	71.6356	0	71.6382	0	0	0	0	49819612
	R Shroff Consultants Private Limited	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	9060
	Sub Total (A)(1)	6	50161695	0	0	50161695	72.1301	50161695	0	50161695	72.1275	0	72.1301	0	0	0	0	50161695
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	6	50161695	0	0	50161695	72.1301	50161695	0	50161695	72.1275	0	72.1301	0	0	0	0	50161695

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) 9,00,000 equity shares acquired by Nerka Chemicals Private Limited, a promoter on 18th December, 2020 is not included in the shareholding pattern for the quarter ended on 31st December, 2020 as the same was lying in the demat account of the clearing member as on 31st December, 2020. Now, the same has been credited in the demat account of Nerka Chemicals Privet Limited and will reflect in the shareholding pattern for the quarter ended on 31st March, 2021.

Uniphos Enterprises Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund	3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0.0032	0	0	NA	NA	2260
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	17	9536006	0	0	9536006	13.7123	9536006	0	9536006	13.7118	0	13.7123	0	0	NA	NA	9534156
	Ares Diversified	1	3171572	0	0	3171572	4.5606	3171572	0	3171572	4.5604	0	4.5606	0	0	NA	NA	3171572
	Resonance Opportunities Fund	1	1370000	0	0	1370000	1.9700	1370000	0	1370000	1.9699	0	1.9700	0	0	NA	NA	1370000
	Arial Holdings 1	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	1.3511	0	0	NA	NA	939602
	Eos Multi Strategy Fund Ltd	1	933938	0	0	933938	1.3430	933938	0	933938	1.3429	0	1.3430	0	0	NA	NA	933938
	Acacia Partners, Lp	1	750000	0	0	750000	1.0785	750000	0	750000	1.0784	0	1.0785	0	0	NA	NA	750000
	Acacia Institutional Partners, Lp	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	1.0353	0	0	NA	NA	720000
(f)	Financial Institutions / Banks	5	1100	0	0	1100	0.0016	1100	0	1100	0.0016	0	0.0016	0	0	NA	NA	1100
(g)	Insurance Companies	2	953290	0	0	953290	1.3708	953290	0	953290	1.3707	0	1.3708	0	0	NA	NA	953290
	Life Insurance Corporation Of India	1	895590	0	0	895590	1.2878	895590	0	895590	1.2878	0	1.2878	0	0	NA	NA	895590
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(i)	Any Other (Specify)	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Foreign Bank	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Sub Total (B)(1)	28	10494456	0	0	10494456	15.0905	10494456	0	10494456	15.0900	0	15.0905	0	0	NA	NA	10492606
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10728	5644767	0	0	5644767	8.1169	5644767	0	5644767	8.1166	0	8.1169	0	0	NA	NA	5275165
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	946031	0	0	946031	1.3603	946031	0	946031	1.3603	0	1.3603	0	0	NA	NA	946031
(b)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(c)	Any Other (Specify)	973	2296400	0	0	2296400	3.3021	2296400	0	2296400	3.3020	0	3.3021	0	0	NA	NA	2146107

Trusts	1	17920	0	0	17920	0.0258	17920	0	17920	0.0258	0	0.0258	0	0	NA	NA	17920
Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62
Hindu Undivided Family	278	456130	0	0	456130	0.6559	456130	0	456130	0.6559	0	0.6559	0	0	NA	NA	456130
Non Resident Indians (Non Repat)	97	39468	0	0	39468	0.0568	39468	0	39468	0.0568	0	0.0568	0	0	NA	NA	37192
Non Resident Indians (Repat)	455	302280	0	0	302280	0.4347	302280	0	302280	0.4346	0	0.4347	0	0	NA	NA	154264
Clearing Member	35	930588	0	0	930588	1.3381	930588	0	930588	1.3381	0	1.3381	0	0	NA	NA	930588
Ventura Securities Limited	1	900040	0	0	900040	1.2942	900040	0	900040	1.2942	0	1.2942	0	0	NA	NA	900040
Bodies Corporate	106	549952	0	0	549952	0.7908	549952	0	549952	0.7908	0	0.7908	0	0	NA	NA	549951
Sub Total (B)(3)	11705	8887198	0	0	8887198	12.7794	8887198	0	8887198	12.7789	0	12.7794	0	0	NA	NA	8367303
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	11733	19381654	0	0	19381654	27.8699	19381654	0	19381654	27.8689	0	27.8699	0	0	NA	NA	18859909

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Uniphos Enterprises Limited
Table V - Significant Beneficial Owners

Sr. No.	Details of the SBO			Details of the registered owners			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		Nerka Chemicals Pvt Ltd	India		71.6356	71.6356	71.6356	No	No	11-11-2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		R Shroff Consultants Pvt Ltd	India		0.013	0.013	0.013	No	No	11-11-2010
3	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Varun Jaidev Shroff	United Kingdom		0.0019	0.0019	0.0019	No	No	01-04-2004
4	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Tania Jaidev Shroff	United Kingdom		0.0007	0.0007	0.0007	No	No	01-10-2006

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